

アラブ首長国連邦UAEの原子カプログラムの進展

2019年1月17日

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1. Introduction of the UAE Nuclear Program

UAE Nuclear Policy

- In 2008 the Government issued the Policy of the United Arab Emirates on the Evaluation and Potential Development of Peaceful Nuclear Energy.
- Policy commits UAE to "highest standards of safety and security".
- The UAE has moved forward on the commitments in its policy through:
 - adherence to the relevant international instruments for nuclear safety, security and nonproliferation,
 - o the establishment of a legal, governmental and regulatory framework for safety, and
 - o on-going support for the development of the UAE peaceful nuclear energy programme



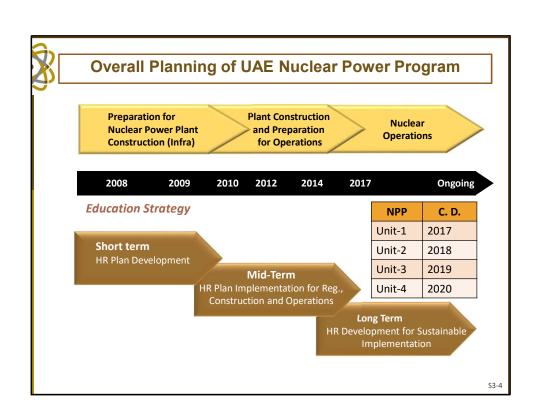


Roadmap for Developing a Successful Nuclear Power Program (Nov. 2009)

Developing UAE National Infrastructure

Roadmap prepared by consultants translated the IAEA milestones in developing a successful nuclear power program into an implementation plan customized to UAE needs:

- · Evaluated current infrastructure and capabilities within UAE
- Assessed different industrial strategies and reported feasibility.
- Recommendations to improve and develop new elements.
- Outlined a schedule and responsibilities and set feasible milestones.





Selection of Technology and Prime Contractor

- Following a year-long, intense evaluation of bids from France (EPR), Japan (ABWR), and Korea (APR1400), Prime Contract awarded to the team led by Korean Electric Power Corporation on December 27, 2009.
- Decision criteria:
 - Safety
 - Deliverability
 - Contract Compliance
 - · Human Resource Development
 - Commercial Competitiveness





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- · Based on Generation 3 technology from the US
- "Reference Plant" in Shin Kori 3 under construction
- South Korea has continually upgraded the reactor design
- South Korean operating record is among the world's best
- National support of Soth Korea

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UAE Nuclear Program Management Structure

Federal Authority for Nuclear Regulation, FANR

Federal Authority (http://www.fanr.gov.ae/en/Pages/default.aspx)

- ➤ Regulations, licensing, inspections, accounting and control of nuclear material
- Emirates Nuclear Energy Corporation, ENEC

(http://www.enec.gov.ae/)

- Promotion and development of required infrastructure for nuclear power program in UAE
- Nawah Energy Company, Nawah

(http://www.nawah.ae/)

- > Operation of Barakah nuclear power plants
- Ministry of Foreign Affairs
 - ➤ Policy coordination and International Cooperation framework arrangements
- Other entities are assigned with specific responsibilities
 - > CICPA: Responsibilities for implementing physical security
 - > Khalifa U: Human Capacity building
 - > NCEMA: National emergency and crisis management



2. UAE Nuclear Legal & Regulatory Infrastructure

2.1 UAE Nuclear Law

Nuclear Law (Decree): October 2009

The main part of the legislative framework is in place.

- o In line with the UAE Policy & international treaties, the Federal Law by Decree No (6) of 2009, Concerning The Peaceful Uses of Nuclear Energy (the "Nuclear Law") was issued by the President in September 2009 to:
 - develop & control UAE nuclear sector towards peaceful purposes
 - ensure Nuclear Safety & Security, and Radiation Protection
 - prohibit Enrichment & Reprocessing Facilities in UAE

2.2 Nuclear Regulations

(Examples are shown below)

۰	•	,	
	FANR-REG-03	Design of Nuclear Power Plants	
	FANR-REG-05	Application of Probabilistic Risk Assessment (PRA) at Nuclear Facilities	
	FANR-REG-08	Physical Protection for Nuclear Materials and Nuclear Facilities	
	FANR-REG-11	Radiation Protection and Predisposal Radioactive Waste Management in Nuclear Facilities	
	FANR-REG-10	System of Accounting for and Control of Nuclear Material and Application of Additional Protocol	
	FANR-REG-12	Emergency Preparedness for Nuclear Facilities	
	FANR-REG-14	Application for a Licence to Operate a Nuclear Facility	
	FANR-REG-15	Off-site Emergency Plans for Nuclear Facilities	
	FANR-REG-16	Operational Safety including Commissioning	
	FANR-REG-17	Certification of Operating Personnel at Nuclear Facilities	
	FANR-REG-21	Decommissioning of Facilities	
	FANR-REG-23	Security of Radioactive Sources	
	FANR-REG-26	Pro-disposal Management of Radioactive Waste	S3-8



2.3 Nuclear Regulatory Guides

(Examples are shown below)

- •FANR-RG-001 Content of Nuclear Facility Construction and Operating Licence Applications
- •FANR-RG-002 Application of Management Systems for Nuclear Facilities
- •FANR-RG-003 Probabilistic Risk Assessment: Scope, Quality and Applications
- •FANR-RG-004 Evaluation Criteria for Probabilistic Safety Targets and Design Requirements
- •FANR-RG-006 Transportation Safety Guide
- •FANR-RG-007 Radiation Safety
- •FANR-RG-017 Operator Certification Guidance
- •FANR-RG-019 Radiation Safety in Industrial Radiography

In addition to the above guides, **US regulatory guides and IAEA safety guides** are specified for use in Review Instruction of each chapter for review of CLA (Construction License Application CLA (PSAR) and Operation License Application OLA (FSAR).

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2.4 Integrated Management System Instructions of FANR

- 2.4-1 Instructions for Review and Assessment of NPP
- 1). Work Instructions (WIs)
- 2). SAR Review Instructions (RIs)
- 2.4-2 Instructions for Inspection of Nuclear Facilities
- 1). Administration
- 2). Management System
- 3). Construction
- 4). Commissioning
- 5). Operation
- 6). Maintenance
- 7). Radiation Protection
- 8). Emergency Preparedness
- 2.4.-3 Radiation and Nuclear Emergency Instructions
- 2.4.-4 Safeguards Instructions
- 2.4.-5 Education and Training Instructions



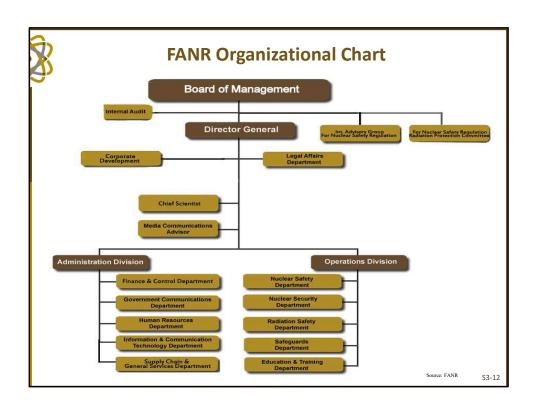
2.5 UAE Regulatory Authority FANR

Establishment of a Regulatory Authority for the Nuclear Sector in accordance with White Paper

"...the establishment of an independent, vigilant and effective regulatory authority is a cornerstone for any stable, credible, safe and secure nuclear energy program." UAE White Paper, April 2008

The Regulatory Authority would be endowed with powers to:

- Establish requirements and regulations
- Issue Licenses
- Inspect and assess facilities
- · Monitor and enforce compliance with regulations
- Establish a State System of Accounting and Control (SSAC)





3. Licensing Activities in the UAE Nuc Program

3.1 NPP Activities that Require Licenses

(Article 25 of UAE Law)

- •Selection of a site for the Construction of a Nuclear Facility
- •Preparation of a site for the Construction of a Nuclear Facility
- Construction of a Nuclear Facility
- Commissioning of a Nuclear Facility
- Operation of a Nuclear Facility
- •Closure or a change in the Closure date of any Nuclear Facility
- Decommissioning of a Nuclear Facility
- •Modifications having significance on Safety to the Management System and organizational arrangements of the structure, systems and equipment of or contained in any Nuclear Facility
- Possession, use, manufacture or handling of any Regulated Material in the State

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Status of NPP Licensing

Licenses issued:

- Site Selection Licence
- Site Preparation Licence
- Limited Construction Licence
- Construction Licence for Units1 & 2 (July 2012)
- Construction Licence for Units 3 & 4 (Sept 2014)
- · Import of Nuclear Fuel
- Nuclear Fuel Handling and Storage
- Nuclear Fuel Transportation

ENEC submitted application for an Operating Licence for Units 1&2 in March 2015

 Authorization of fuel load, start up, nuclear commissioning, commercial operation, and possession of regulated material

followed by application for an Operating Licence for Units 3&4 in September 2016



3.2 Construction License

Construction License Application for Barakah 1 & 2

(Received on 27 Dec 2010)

- Application Letter
- Preliminary Safety Analysis Report

- 9000 pages

 - 21 Chapters covering Safety, Safeguards and Physical Protection and 2 Supplements

Separate Submittals

- ■Physical Protection Plan for construction
- ■Preliminary Safeguards Plan
- Preliminary Probabilistic Safety Assessment Report summary
- **■**Severe Accident Analysis Report

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Submitted PSAR Followsurface Article (8) of FANR RG-001: SAR Content

- 1. Introduction and General Description of Plant
- 2. Site Characteristics
- 3. Design of Systems, Structures, Components, and Equipment
- 4. Reactor
- 5. Reactor Coolant and Connecting Systems
- 6. Engineered Safety Features
- 7. Instrumentation and Controls
- 8. Electric Power
- 9. Auxiliary Systems
- 10. Steam and Power Conversion System
- 11. Radioactive Waste Management including Storage prior to Disposal
- 12. Radiation Protection
- 13. Conduct of Operations
- 14. Inspection, Test, Analyses and Verification Programmes
- 15. Transient and Accident Analyses
- 16. Technical Specifications
- 17. Management of Safety, Security and Safeguards
- 18. Human Factors Engineering
 19. Probabilistic Risk Assessment and Severe Accident Analysis
- 20. Physical Protection 21. Safeguards
- 22. Decommissioning and End-of-Life Aspects
- S1. Reference Nuclear Facility, Modification and Independent SV
- S2. Safety issues and use of OPEX



FANR Effectively Uses Safety Information from RBCoO

Category 1 → Full review and assessment

Category 2 → Capitalize on the RBCoO review and assessment

<u>Category 1 Review</u> is assigned to any item of the SAR that does not meet all criteria for a Category 2 Review or

- new technology,
- new findings,
- large risk contributors,
- site specific conditions/designs.



<u>Category 2 Review</u> is assigned to any item of the SAR that meets the following criteria:

- •The documentation submitted by the applicant is **adequate** to the extent that the reviewer has sufficient information to assess topics below.
- •The submission demonstrates that the RBCoO's regulatory requirements associated with this item are consistent with and meet those of FANR.
- The technical basis used by the RBCoO to perform their review and assessment is clearly described and explained.
- •With respect to the reference plant there is **no design change** with significant impact on nuclear safety.
- •With respect to the reference plant there is **no change in operational** activities with significant impact on nuclear safety.

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Examples of Factors Causing Design Changes from Reference Plant

- O High Sea Water and Air Temperature
 - Systems evaluation (all effected systems)
 - Equipment evaluation
 - Layout impact evaluation
 - Operational impact evaluation
- Sand Storm
- o Oil Spill in Sea
- Effect of Geology



See next slide

 Electrical Grid Frequency (Design change from 60 Hz design to 50 Hz design)

Software / Hardware (Electrical Equipment, Mechanical Equipment; Equipment qualification)

• New Requirement: Effect of Air Craft Crush Measures

Source: Licensing Report, FANR/ ENEC

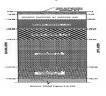


Seismic Design Verification Inspection for CEDM and ESW Pump House of Barakah NPPs (Excerpts from T. Saito Presentation at Inspection Technique Training Workshop, Nov. 5, 2012)

1. How the inspection be performed based on 3 requirements from a selected Inspection Instruction

Background

- Reference plants (SKN 3&4) is built directly on hard rock but BNPP NPPs will be built on soil
- BNPP containment is much heavier due to air-craft crash measure
- Electrical frequency of the SKN 3&4 is 60Hz while that of BNPP is 50 Hz (resulted in in different pump designs – ESW pump)
- Soil-structure analysis needed
- Preliminary analysis shows reference design floor response does not envelope at lower frequencies
- ITS (important-To-Safety) equipment in containment and auxiliary building selected for design verification



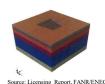




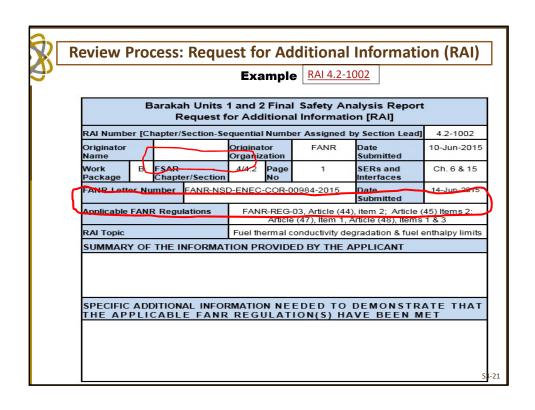
Figure D.2-1. Major X-mode in XZ-plane View

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FANR Review Using Technical Support Organizations (Effective Use of World Experience)

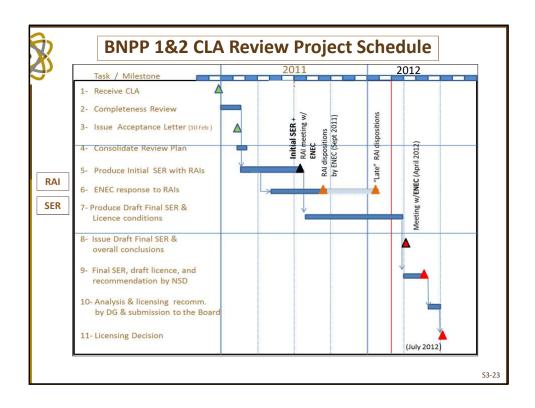
- FANR engaged three TSOs in the US and Europe to support review and assessment of CLA / OLA to augment in-house resources
- FANR provided alignment and direction to TSOs ensuring consistency across the CLA and OLA review.
- FANR retains responsibility for regulatory decisions, through its in-house team of seasoned staff.





Safety Evaluation Report (SER) Preparation: Content of SER Section

- 1. Area of Review
- 2. FSAR Interfaces
- 3. Licensing Basis References
- 3.1 Applicable FANR Regulations
- 3.2 Review Guidance and Industry Standards to Meet FANR Regulations
- .4 Regulatory and Safety Review
 - 4.1 Review Methodology
- 4.2 Applicability of Previously Approved Review Performed under the Barakah Units 1 and 2 Construction License
- 4.3 Review of Changes and/or Additions to the Preliminary Design Approved under the Barakah Units 1 and 2 Construction Licence
- 4.4 Generic Issues and Operating Experience
- 4.5 FSAR Interface Evaluation
- 5 Open Items and Commitments
- 6 Post Operating Licence Commitments
- 7 Conclusions





PSAR Review (Summary)

IAEA IRRS Mission Evaluation on PSAR Review of FANR
 "FANR has regulations and a review process for
 effectively conducting the review of the

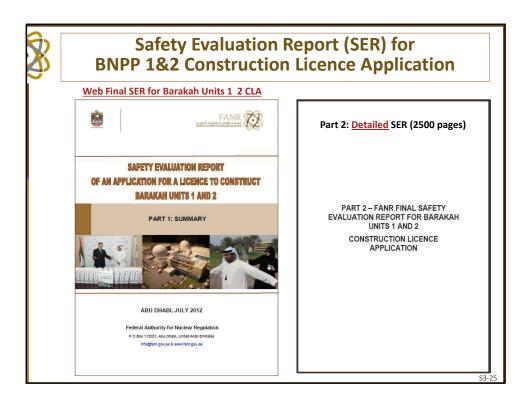
"Review and assessment in FANR with the support of TSOs is organizationally a well arranged and managed process."

- Request for Additional Information (RAIs) Sent to ENEC
 1599
- Safety Evaluation Report (SER) Sections
 223
- Safety Evaluation Report

application."

- Part 1: Summary (70 pages)
- Part 2: Detailed SER (2500 pages)

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Contents of Safety Evaluation Report Part-2

PART 2: SUPPORTING MATERIAL

1- DETAILED SAFETY EVALUATION REPORT

2- REVIEW OF ENEC'S FUKUSHIMA LESSONS LEARNED REPORT

Appendix 1: ACRONYMS AND ABBREVIATIONS

Appendix 2: LICENSING CORRESPONDENCE

Appendix 3: LIST OF DEFERRED COMMITMENTS

Appendix 4: REFERENCES

Appendix 5: PRINCIPAL TECHNICAL REVIEWERS

Appendix 6: RAI History Record



FANR Assessment on Construction Licence Application and Follow-up Actions

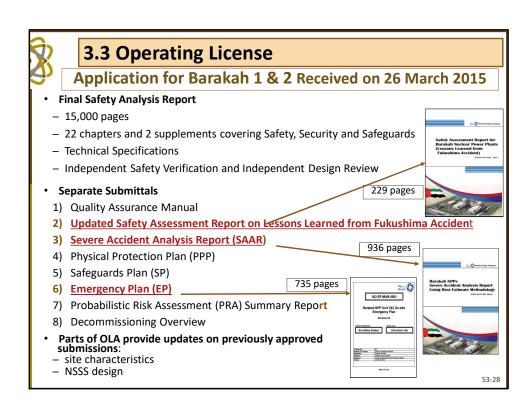
Conclusion and Grant of CL for Barakah Units 1 & 2

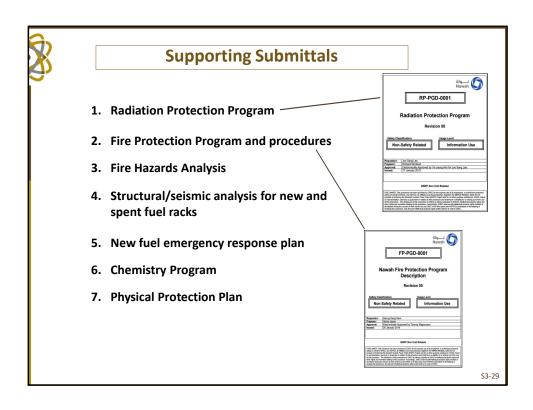
- The staff found that the information submitted by ENEC is sufficient to demonstrate that the proposed facility complies with FANR regulations, and satisfies the relevant principles, objectives and criteria for safety, radiation protection, nuclear security and non-proliferation as required by Law.
- FFANR granted Construction License for Barakha Units 1 & 2

Follow-up Actions (Licensing Conditions)

- During its evaluation FANR identified requirements for a number of follow-up submittals from ENEC "Conditional Acceptance"
 - Update PSAR
 - Additional submissions to confirm technical solutions meet FANR requirements
 - Fukushima follow up actions
 - FSAR commitments

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Review of Operating License Application for Barakah 1 & 2 Current Barakah 1 & 2 OLA Review Milestones Task Name Owner Finish Applicant Receive Operating License Application (OLA) 26/03/2015 Complete Initial Review of OLA 31/05/2015 FANR 31/08/2015 Complete Detailed Review of OLA FANR Issue First Round of RAIs - Majority FANR 31/10/2015 29/12/2016 Complete Draft SERs with Open Items - Majority FANR Receive late OLA submittals including all OLA Applicant 2018 Rai responses and Operational Ruddiness Report Complete review of late submittals FANR 2019 May/ 2010 Approve all SERs and supporting documents FANR May/ 2010 FANR Issue Operating License

List of Nawah Operation Management Programs to Be Reviewed and Documented (Total of 42 Programs)

Nawah Program Description Doc. No.	Title	SER No. Review docume nted	FANR-REG-16 Article(s) for review					
ENV-PGD-001	Nawah Meteorological Monitoring Program Description	2.0	REG-04					
ENG-PGD-AOV-	Air Operated Valves (AOVs) Program Description	3.9.6	Maintenance, Testing, Surveillance and Inspection					
OP-PGD-0001- R0	Nawah Primary Coolant Outside of Containment Program Description		Programmes					
ENG-PGD-AG- 001	Equipment Qualification Program Description		Equipment Qualification					
ENG-PGD-CTM- 001	Cyclic and Transient Monitoring Program Description	3.11	Maintenance, Testing, Surveillance and Inspection Programmes					
ENG-PGD-EQ-R0	Ageing Management Program Description		Ageing Management					
ENG-PGD-FAC- 001	Erosion and Corrosion Monitoring Program Description		Ageing Management, item (3)					
RE-PGD-002-R0	Nawah Fuel Integrity Control Program Description	4.2	Core Management and Nuclear Fuel Handling					
ENG-PGD-RVS- 001	Reactor Coolant Pressure Boundary Material Surveillance Program Description	5.2.3	Maintenance, Testing, Surveillance and Inspection Programmes & (16) Ageing Management					
DCM-PGD-001	Nawah Document Control and Records Program Description Management	17.0	Accident Management Programme					
OP-PGD-0003	Equipment Clearance Safety Program Description	17.0	Accident Management Programme					
NRM-PGD-AM- 0001	Nawah Accident Management Program Description	19.2	Accident Management Programme					
NRM-PGD- PRA_0001	Nawah Probabilistic Risk Assessment Program Description	19.1						
SEC-PGD-0001	Nawah Site Security Program Description	20.0	Management System REG-08					
SFG-PGD-0001	Nawah Safeguards Program Description	21.0	Management System, REG-10					
EXP-PGD-001	Export Control Program Description	21.0	FANR-REG-09					



3.4 Other NPP-Related Licenses

- Limited Construction Licence
- Construction Licence for Units1 & 2 (July 2012)
- Construction Licence for Units 3 & 4 (Sept 2014)

ENEC submitted application for an Operating Licence for Units 1&2 on 26 March 2015

- Authorization of fuel load, start up, nuclear commissioning, commercial operation, and possession of regulated material
- Licence will be granted by February 2018

ENEC has made further applications for authorization

- · Fuel Handling and Storage
- Transportation
- Import

4. Other Activities in the UAE Nuclear Program

4.1 Activities Related to Construction and Operation of NPPs

4.1.1 Inspection and control

FANR Inspection: Scope

- ENEC and Prime Contractor
- Vendor
- Site Construction
- Commencing testing (pre-operational tests)
- Operational Readiness





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4.1.2 Emergency Preparedness

IAEA Emergency Management: Drills and Exercises

- FANR completed IAEA Convex 2b exercise reporting to IAEA vie USIE, transmitting data and requesting assistance (August 2015)
- NCEMA conducted first tabletop joint and coordination exercise in addition to assessment of the emergency procedures for each government entity (September 2015)
- NCEMA conducting exercise of the offsite emergency plan to demonstrate the notification process, reception centers operation, activation of the EOC/EOF, implementation of protective actions, capability for radiological monitoring and measurements (October 2015)
- Barakah NPP full scale exercise onsite and offsite plans - February 2016





IAEA EPREV Mission 2015 (21 – 31 March 2015)

To review emergency preparedness and response (EPR) arrangements and capabilities associated with the Barakah Nuclear Power Plant (NPP):

- •The on-site emergency preparedness and response plan;
- National and local off-site EPR arrangements;
- The interface between the NPP and the off-site EPR authorities; and
- Arrangements for international notification as per IAEA safety standards

Evaluation: Recommendations:

- Need to develop a formalized process for determining protective actions, based upon all available information, including plant status and field surveys and including use of OILs
- Review requirements for the emergency planning zones (UPZ, PAZ, EPD and ICPD) consistent with IAEA safety standards
- Detailed evacuation plans for the construction population, and procedures for reception center operations to be established
- All stakeholders should expedite the completion of relevant emergency plans and procedures, test them and fully implement them prior to the exercise scheduled before fuel receipt (February 2016)

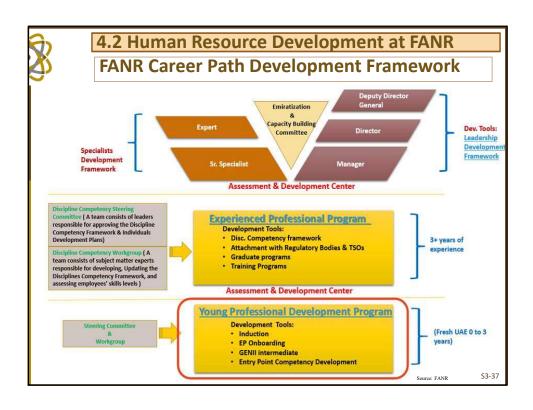
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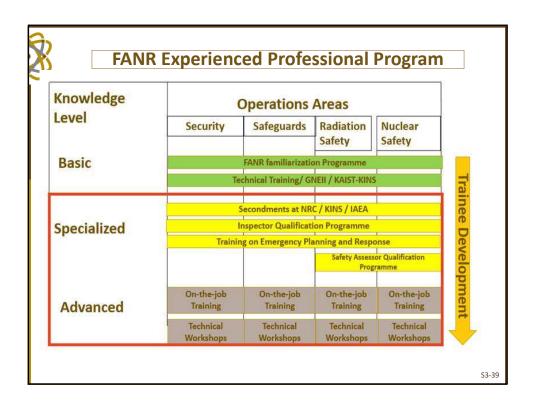
IAEA Workshop on "Capability in the Review/Assessment of Preventive & Mitigative SEOPs and SAMGs, Feb. 2016

ENEC Presentation on UAE Symptom Based Emergency Operating procedures (SEOPs) and Severe Accident Management Guidelines (SAMGs)",











4.3 International Cooperation – IAEA Missions and Workshops in UAE

UAE Policy emphasizes working directly with IAEA and responsible nations

The UAE has added to its existing international agreements by:

- Signing an additional protocol to the safeguards agreement with the IAEA;
- o Becoming a party to:
 - Convention on the Physical Protection of Nuclear Material;
 - Convention on Nuclear Safety;
 - Joint Convention on the Safety of Spent Fuel Management and the Safety of Radioactive Waste Management



Source: FANR presenta



IAEA Integrated Nuclear Infrastructure Review – INIR (Visited UAE in Jan. 2011)

- The UAE, ENEC, FANR and MoFA, the parties most directly involved in the INIR program, found the process to be a valuable, comprehensive and methodical way to ensure that the country was fulfilling its commitments and requirements as outlined in the IAEA's Milestones approach to implementing a nuclear power program
- The value derived is due in no small part to the fact that the UAE relied extensively upon the Milestones Approach as it developed its Roadmap for Success, the extensive document that laid out the path the UAE would take to implement peaceful, civil nuclear power.
- The mission team recognized that the UAE infrastructure is progressing rapidly and is well advanced. The Team also made some recommendations supporting the on-going development of the program.

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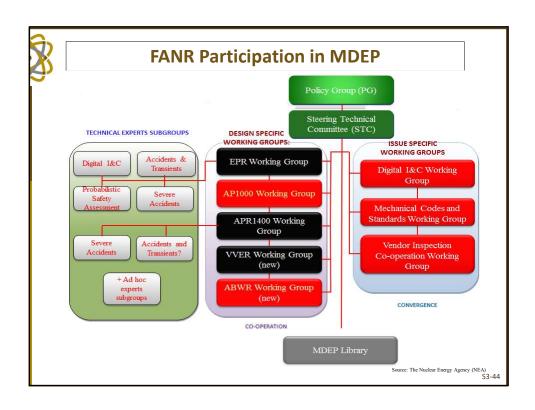


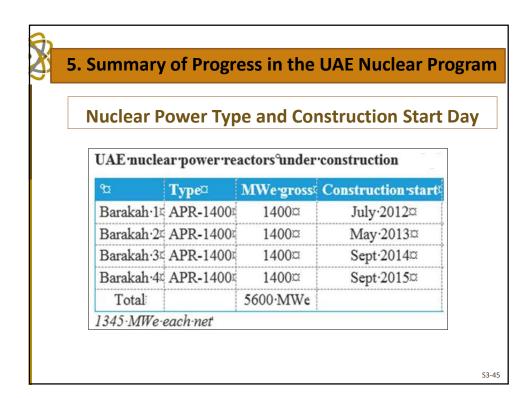
IAEA Integrated Regulatory Review Service IRRS (Visited UAE in Dec. 2011)

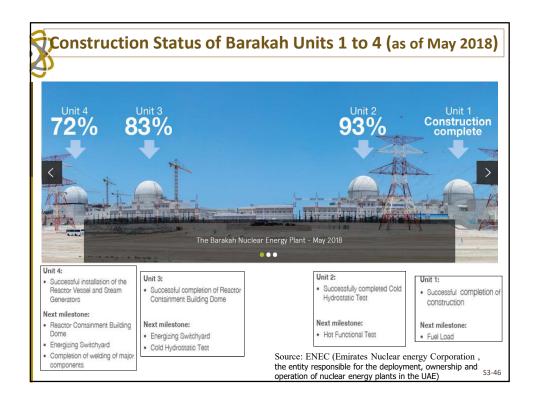
- The UAE opted for a full scope mission, which covers all the 10 core modules
- In addition, the UAE decided to have three additional Modules covering
 - Medical exposure control
 - Occupational exposure control, and
 - ➤ Safety and Security of Radioactive Sources
- Plus three policy discussions
 - Response to the Fukushima accident
 - Capacity building and sustainability
 - > Regulatory body in the country of origin

Other IAEA Review Service Missions UAE Received (In addition to INIR, IRRS & EPREV)

- SEED Mission (Nov. 2011)
 Site and External Event Design Review Service Mission
- INSSP Mission (Sep. 2012)
 International Nuclear Security Support Plan
- ISSAS Mission (May 2014)
 IAEA Safeguards Advisory Service
- ORPAS Mission (Nov. 2015)
 Occupational Radiation Protection Appraisal Service
- <u>IPPAS</u> Mission (Nov. 2015)
 International Physical Protection Advisory Service
- EduTA Mission (Feb. 2017)
 IAEA mission to appraise the education and training provisions and infrastructure in radiation protection and the safety of radiation sources in the UAE







8	F	Remaining	Critical Areas for Unit 1 Fuel Load and Updated Unit 1 Schedule									
)	Cri	itical Areas	Details of Critical Areas									
1	Issue	olution of Tech es Found at Pre- rational Test	Resolving Outstanding Technical Issues such as Pilot Operated Safety Relieve Valve Issue, Battery Issue, Safety-related Pump Margins, etc.									
2		ish Proficiency perator	Training to attain required level, effective communication and safe operations, etc.									
3	Mair	ntenance	Conclusion (Sign) of Long-Term Maintenance Agreement and Contracts, and Delivery of All Maintenance & Engineering programs, Processes and Procedures									
4	Ope	rational Focus	Resolving Operational Issues Identified on: Operator Work Management & Clearance Process, Operation Programs & Procedures, Surveillance & Periodic testing, Plant Configuration Control, etc.									
5	Secu	rity	Delivery of Physical Protection System, Set-up and Operation of Security/Operations Interface, and Implementation of Cyber Security Measures									
6		rating Licence roval	Completion of FANR Inspection Activities, Demonstration of Integrated Operational Readiness, and FANR Issue (Approval) of Operating Licence									
			Update Milestone Dates									
		Fuel Load	Commercial Operation									
		May 2020	September 2021									

	Overall Summary																
Year		2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
White Pape	er Released	Apr 20															
Nuclear Lav	w Issued		Oct 5														
FANR Estab	olished		Sep 24														
ENEC Estab	lished		Dec 23														
1st Regulat				Oct 28													
	iide Released			Aug 31													
Licence for	Site Survey Issued			Mar 7													
Barakah 1															<u> </u>		
	ractor Selected		Dec 27					()					7 9				
CLA Submit	tted			Dec 27													
CL Granted					Jul 17												
First Safety	Concrete Poured		9	3	Jul 18			Y	-				7				
OLA Submi	tted								Mar 25								
OL Granted			10 30					6 89					s 8	(May)			
Fuel Loadin														(May)			
Commercia	l Operation														(Sep)		
	CLA Submitted			Dec 27													
	CL Granted					Jul 17											
Barakah 2	OL & Fuel Load			-				1 2						(Dec)			-
	C. O.													,,	(in 2021)		
	CLA Submitted						Feb 28								,,		
	CL Granted							Sep 15								_	
Barakah 3	OL & Fuel Load		1 2						-						(in 2021	1	
	C. O.														2021	(in 2022)	
	CLA Submitted						Feb 28									1 2022)	
	CL Granted						. 25 20	Sep 15									
Barakah 4	OL & Fuel Load							50p 15								(in 2022)	
_	C. O.														 		(in 2023